

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: <u>22</u> April 2005 ( <u>22</u> 04.2005)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APP. NO. (if known) Not Yet Assigned <b>10/532216</b>
INTERNATIONAL APPLICATION NO.: PCT/JP2004/004313	INTERNATIONAL FILING DATE: 26 March 2004 (26.03.2004)	PRIORITY DATE CLAIMED: 01 April 2003 (01.04.2003) JP 01 April 2003 (01.04.2003) JP 01 April 2003 (01.04.2003) JP
TITLE OF INVENTION: <b>AN LED DRIVING DEVICE AND LED DRIVING METHOD</b>		
APPLICANT(S) FOR DO/EO/US: Yutaka OZAKI		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <u>X</u> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <u>  </u> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <u>X</u> This express request to begin national examination procedures (35 USC 371(f)) The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <u>X</u> The U.S. has been elected (Article 31).</p> <p>5. <u>X</u> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 40px;">a. <u>X</u> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <u>  </u> has been communicated by the International Bureau.</p> <p style="margin-left: 40px;">c. <u>  </u> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <u>X</u> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7. <u>X</u> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 40px;">a. <u>  </u> are attached hereto (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <u>X</u> have been communicated by the International Bureau.</p> <p style="margin-left: 40px;">c. <u>  </u> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 40px;">d. <u>  </u> have not been made and will not be made.</p> <p>8. <u>  </u> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <u>  </u> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) as provided in the PCT Request.</p> <p>10. <u>  </u> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
<b>ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</b>		
<p>11. <u>X</u> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <u>  </u> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <u>X</u> A <b>FIRST</b> preliminary amendment.</p> <p>14. <u>  </u> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</p> <p>15. <u>  </u> A substitute specification.</p> <p>16. <u>  </u> A change of power of attorney and/or address letter.</p> <p>17. <u>  </u> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</p> <p>18. <u>  </u> A second copy of the published international application under 35 USC 154(d)(4)</p> <p>19. <u>  </u> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</p> <p>20. <u>X</u> Other items or information:</p>		
<p>TRANSMITTAL FORM; FEE CALCULATION; INTERNATIONAL PUBLICATION WO 2004/090997 A1 WITH INTERNATIONAL PUBLICATION DATE 21 OCTOBER 2004 WITH SEARCH REPORT ; ENGLISH TRANSLATION WHICH CONSISTS OF 52 PAGES INCLUDING 2 PAGE COVER SHEET CONTAINING THE ABSTRACT; 34 PAGES TEXTUAL SPECIFICATION, 5 PAGE S OF 16 CLAIMS, 1 PAGE ABSTRACT, 3 PAGES OF FIGURE DESCRIPTION REFERENCES, 9 SHEETS DRAWINGS; PRELIMINARY AMENDMENT WITH APPENDIXES A &amp; B TO BE EXAMINED; UNEXECUTED DECLARATION; INFORMATION DISCLOSURE STATEMENT; STATEMENT UNDER § 1893.03(G) OF THE MPEP; PTO FORM 1449 WITH 12 REFERENCES; PCT REQUEST; PCT/IB/304 TRANSMITTAL OF PRIORITY DOCUMENT; PCT/IB/308 NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES; PCT IB/346 NOTIFICATION CONCERNING THE FILING OF AMENDMENTS OF THE CLAIMS;</p>		

U.S. APPLICATION NO. (if known) not yet assigned <div style="font-size: 2em; font-weight: bold; margin-top: 10px;">10/532216</div>	INTERNATIONAL APPLICATION NO.  PCT/JP2004/004313	DATE: <u>22</u> April 2005 ( <u>22</u> .04.2005)						
21. <input checked="" type="checkbox"/> The following fees are submitted:  <b>Basic National Fee (37 CFR 1.492(a)(1)-(5):</b> Filing Fee, Examination Fee and Search Fee          <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: left; padding: 5px;"><u>CALCULATIONS</u></th> <th style="text-align: left; padding: 5px;"><u>PTO USE ONLY</u></th> </tr> <tr> <td style="padding: 5px;">\$ 1,000.00</td> <td></td> </tr> <tr> <td style="padding: 5px;">\$1,000.00</td> <td></td> </tr> </table>	<u>CALCULATIONS</u>	<u>PTO USE ONLY</u>	\$ 1,000.00		\$1,000.00	
<u>CALCULATIONS</u>	<u>PTO USE ONLY</u>							
\$ 1,000.00								
\$1,000.00								
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 5px;">\$</td> <td style="width: 50%;"></td> </tr> </table>	\$					
\$								
CLAIMS	NO. FILED	NO. EXTRA	RATE					
TOTAL	16 -20=	0	X \$ 50.00	\$	.00			
INDEPENDENT	3 - 3=	0	X \$200.00	\$	.00			
Multiple dependent claims(s) (if applicable)			+ \$360.00	\$	.00			
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	<b>1,000.00</b>			
Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).				\$	<b>500.00</b>			
<b>SUBTOTAL =</b>				\$	<b>500.00</b>			
Processing fee of <b>\$130.00</b> for furnishing the English translation later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$	0.00			
<b>TOTAL NATIONAL FEE =</b>				\$	<b>500.00</b>			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 per property +</b>				\$	<b>0.00</b>			
<b>TOTAL FEES ENCLOSED =</b>				\$	<b>500.00</b>			
				Amount to be:				
				refunded	\$			
				charged	\$			

ATTORNEY'S DOCKET NO: 26738U

U.S. APPLICATION NO. (if known) not yet assigned <div style="font-size: 1.5em; font-weight: bold; margin-top: 10px;">10/532216</div>	INTERNATIONAL APPLICATION NO. <div style="text-align: center; margin-top: 10px;">PCT/JP2004/004313</div>	DATE: <u>22</u> April 2005 ( <u>22</u> 04.2005 )
--	---	--

a. ☒ One check in the amount of \$500.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 14-0112 in the amount of \$\_\_\_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0112.

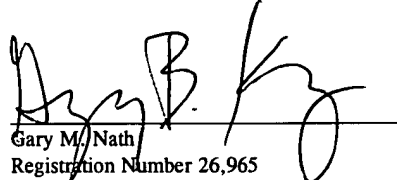
d. Fees are to be charged to a credit card ☐ WARNING: Information on this form may become public ☐ Credit Card Information should not be included on this form. ☐ Provide credit card information and authorization on PTO-2038

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

Gary M. Nath  
 NATH & ASSOCIATES PLLC  
 1030 15<sup>th</sup> Street, N.W.  
 Sixth Floor  
 Washington, D.C. 20005

(202) 775-8383 (phone)  
 (202) 775-8396 (fax)

  
 \_\_\_\_\_  
 Gary M. Nath  
 Registration Number 26,965  
 Gregory B. Kang  
 Registration No. 45,273  
 Customer No. 20529

10/532216

MAIL STOP PCT

Attorney Docket No. 26738U

JCO6 Rec'd PCT/PTO 22 APR 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

OZAKI, Yutaka

International Application No. PCT/JP2004/004313

Serial No. NOT YET ASSIGNED

International Filing Date: 26 March 2004(26.03.2004)

Filed: April 22, 2005

For: **AN LED DRIVING DEVICE AND LED DRIVING METHOD**

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

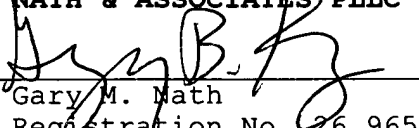
Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter;
- (2) Transmittal Letter To U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371
- (3) International Publication No: WO 2004/090997 A1; International Publication Date: 21 October 2004 (21.10.2004) with Search Report
- (4) English Translation which consists of 54 pages including:
  - 2 cover sheet containing the Abstract,
  - 34 pages Textual Specification;
  - 5 pages of 16 claims;
  - 1 page Abstract;
  - 3 pages of figure description references;
  - 9 sheets of Drawings
- (4) **Preliminary Amendment with Appendixes A & B to be examined;**
- (5) Unexecuted Inventor's Declaration;
- (6) Information Disclosure Statement;
- (7) Statement Under § 1893.03 (g) of the MPEP;
- (8) PTO Form 1449 with 12 references;
- (9) PCT Request;
- (10) PCT/IB/304 Notification Concerning Transmittal of Priority Document;
- (11) PCT/IB/308 Notice Informing the Applicant of the Communication of the International Application of the International Application to the Designated Offices;
- (12) PCT/IB/346 Notification Concerning the Filing of Amendments of the Claims;
- (13) Check No. 22920 \$ 500.00 for Filing Fee, Examination Fee and Search Fee as a small entity;
- (14) Postcard for early notification of serial number.

Respectfully submitted,  
**NATH & ASSOCIATES, PLLC**

By:

  
Gary M. Nath  
Registration No. 26,965  
Gregory B. Kang  
Registration No. 45,273  
Customer No. 20529

Date: April 22, 2005  
NATH & ASSOCIATES PLLC  
1030<sup>th</sup> 15<sup>TH</sup> Street, NW - 6<sup>th</sup> Floor  
Washington, D.C. 20005  
GMN/GBK/ng/PCT.NATLphase